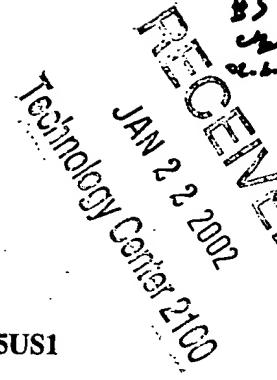


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



REVIEW & TRADEMARK of: Dutta et al.

Serial No.: 09/963,718

Filed: September 26, 2001

For: Apparatus and Method for
Providing Collaborative Voting while
Maintaining Anonymity of Individual
Voters

§ Group Art Unit: 2163

§ Examiner: Unknown

§ Attorney Docket No.: AUS920010645US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:
Assistant Commissioner of Patents, Washington, D.C. 20231 on

11-20-01

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Dell Whittton

Dell Whittton

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AK; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee
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Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

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ATTORNEY FOR APPLICANT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dutta et al.

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Group Art Unit: 2163

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Examiner: Unknown

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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Page 1 of 1

Form PTO-1449

**LIST OF PRIOR ART CITED
BY APPLICANT**
(Use several sheets if necessary)

ATTORNEY DOCKET NO.
AUS920010645US1

SERIAL NO.
09/963,718

APPLICANT Dutta et al.

FILING DATE September 26, 2001

GROUP ART UNIT 2163

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Technology Center 2100

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
AA	5586252	Dec. 17, 1996	Barnard et al.	395/185.01	May 24, 1994
AB	5878399	Mar. 2, 1999	Peralto	705/12	Aug. 12, 1996
AC	6175833 B1	Jan. 16, 2001	West et al.	707/102	Apr. 22, 1998
AD	5867488	Feb. 2, 1999	Derango et al.	370/315	Jun. 30, 1996
AE	5867491	Feb. 2, 1999	Derango et al.	370/329	Jun. 30, 1996

FOREIGN PATENT DOCUMENTS

JAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
AF	WO 00/62257	Oct. 19, 2000	PCT	G07C 13/00	X
AG	EP1001386A1	May 17, 2000	Europe	G07C 13/00	X
AH	WO 00/75844 A1	Dec. 14, 2000	PCT	G06F 17/60	X

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)

AI	Keleher, P.J., "Decentralized Replicated-Object Protocols", Proceedings of the Eighteenth Annual ACM Symposium on Principles of Distributed Computing, May 3-6, 1999, pp. 143-151.
AJ	Keleher, P.J. et al., "Consistency Management in Deno", Mobile Networks and Applications, V 1. 5, No. 4, December 2000, pp. 299-309.
AK	Parnes, P. et al., "mStar: Enabling Collaborative Applications on the Internet", IEEE Internet Computing, Vol. 4 No. 5, September 2000, pp. 32-39

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: 11/20/01


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